

Attorney Docket No. 06053/LH

This paper is being submitted via  
EFS-Web on December 18, 2007

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicants : Kenji KAWANO et al  
Serial No. : 10/566,735  
Filed : February 1, 2006  
For : MONITOR PHOTODETECTOR  
EQUIPPED OPTICAL MODULATOR  
Art Unit : 2874  
Examiner : Not Yet Assigned  
Confirm. No.: 9728

In the event that this Paper is late  
filed, and the necessary petition for  
extension of time is not filed  
concurrently herewith, please  
consider this as a Petition for the  
requisite extension of time, and to  
the extent not already paid,  
authorization to charge the extension  
fee to Account No. 06-1378. In  
addition, authorization is hereby  
given to charge any fees for which  
payment has not been submitted, or to  
credit any overpayments, to Account  
No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)  
AND STATEMENT UNDER 37 CFR 1.704(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA  
S I R :

Submitted herewith are the following:

- (1) Copy of a Supplementary European Search Report dated  
November 20, 2007, issued in a counterpart European  
application;
- (2) Copies of cited publications (except US patents and  
publications); and
- (3) IDS Forms.

USP 5,953,466 listed on the attached IDS Form is a family  
member of EP 0 859 263 cited in the attached Supplementary  
European Search Report. See the Annex to the Supplementary  
European Search Report.

JP 08-194195 cited in the Supplementary European Search Report was submitted with Applicants' IDS filed February 1, 2006, and therefore is not cited again herein.

**STATEMENT UNDER 37 CFR 1.97(e)**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of **November 20, 2007**.

**STATEMENT UNDER 37 CFR 1.704(d)**

Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is requested that initialed copies of the IDS Forms be returned to indicate that the publications listed therein have all been considered and made of record.

Respectfully submitted,

Douglas Holtz, Esq.  
Reg. No. 33,902

Encls.  
Dated: December 18, 2007  
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